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TITLE: A New Perspective on DCIS Using MRI: Correlation of

Tumor and Vessel Proliferation with MR Signal Enhancement

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#### Introduction

The purpose of this study is to correlate breast MR contrast enhancement patterns and density with pathology characteristics and markers of proliferation, angiogenesis, and inflammation in women with ductal carcinoma in situ (DCIS) of the breast. The specific aims of the study are two-fold:1) to characterize the proliferative, inflammatory, and angiogenic activity of DCIS lesions and 2) Correlate pathologic features of DCIS with MRI characteristics. Our reason for correlating the angiogenic, inflammatory, and proliferative profile with MRI characteristics was to determine whether MR can predict the biological characteristics of DCIS, and thus potentially serve as a surrogate marker of biological behavior. If a non-invasive test such as MRI can help us to characterize DCIS lesions prior to surgical excision, then MR could serve as a predictor of the likelihood and rate for transformation of DCIS to invasive cancer. MRI might serve as a catalyst to help us find more optimal ways to treat DCIS, by providing a tool for measuring size and response to therapy in a neoadjuvant type setting.

We include a description of the project and show the data that was correlated at a significance of p<0.05

#### **Body**

# Specific Aim 1: Stain a series of 90 DCIS lesions and 20 non-malignant controls with proliferative, epithelial, endothelial and stromal markers. Accomplishments:

- We have obtained informed consent from 13 patients with a diagnosis of ductal carcinoma in situ (DCIS) who have had an MRI prior to definitive surgery.
- We obtained a waiver of informed consent to analyze samples and MR images from patients who had a preoperative MRI for a diagnosis of DCIS.
- We completed review of 70/100 pathological slides and 57/100 slides stained with each of the selected immunohistochemical markers (Ki67, ER, CD34, CD68). The remaining cases have been withdrawn from the study because of the unavailability of the necessary tissue blocks to complete our pathological and immunohistochemical analyses. These tissue blocks were unavailable because either there was not enough tissue remaining after the diagnostic pathological review to complete a full immunohistochemical review, there was not enough tissue remaining because of the sectioning of tissue slides for other research studies, or because the tissue blocks were currently being held by the investigators of various other research studies that were analyzing the same cases.

# Task 1.1: Create a database of 75 patients with DCIS which includes details of physical findings and mammographic presentation.

• We have created a database of 100 patients with DCIS where tissue blocks and MRIs were available. 45 cases include complete information on MRI, pathology, and immunohistochemistry. This database of 45 complete cases was utilized to generate the data in this report, and is included as APPENDIX A. The database includes: MRI size, pathology size, nuclear grade, presence and extent of comedonecrosis, predominant histological type, MRI density, Pathology denisty, percentage of breast

involved according to MRI, dynamic MRI characteristics, pattern of MRI enhancement, Ki67 score, CD68 score, CD34 score, and ER Score. 30 cases were excluded because tissue blocks were not available; 34 cases were eliminated because their MRI was performed over three years ago and the films were not available on PACS (Picture, Archive, and Communication System-UCSF's imaging database). We required that all films be reviewed on PACS so that the information would be comparable across cases. 13 cases were not included because either their tissue blocks were not available for immunohistochemical staining, there was not enough tissue remaining to stain, or the tissue staining process was unsuccessful (the tissue folded or fell off the slide). A single radiologist reviewed all of the films (Dr. Jessica Leung), a single pathologist reviewed all of the pathology cases (Dr. Yunn-Yi Chen), and a single pathologist reviewed all of the immunohistochemical stains (Dr. Alfred Au). Data sheets were created to collect all of the variables. (SEE APPENDIX C to review the pathology and radiology data sheets). All data elements were put into an excel spread sheet, and a master database was created.

	Reviewed	Unavailable	Total Cases
MRI	66	34	100
Pathology	70	30	100
Immunohistochemistry	57	43	100
Complete MRI, Path, IHC	45	_	_

We were only able to get all of the stains on 45 of the cases, but included data on all cases where MR read was complete for the data base.

# Task 1.2: Indentify 10 non-malignant controls that do not enhance like cancer and 10 malignant lesions that enhance on MR.

- We identified 16 false positive cases, as we felt that this would give us the most insight to what makes MRI lesions enhance. We also selected 5 samples of benign breast tissue as an internal control to compare against these false positives.
- The pathological and MRI analyses of these "false positives" showed a similar range for staining by proliferative, angiogenic, and inflamatory markers, although the average of the false positives is clearly lower than the DCIS cases. However, the immunohistochemical analysis of the false positive cases revealed the relatively high vascularity, proliferative rates, and inflammation relative to benign breast tissue that does not enhance. This may account for these benign cases enhancing like cancer on MR.

Tissue Type	Ki67 (% Epithelial Staining)	CD68 (Tumor- associated	CD34 (Microvessel	ER (Intensity Score)
	, summer	Macrophages)	Count)	
Benign Tissue				
Mean	1.6	1.9	12.9	3.6
Benign Tissue				
Median	2.0	2.3	13.0	2.0
False Positive				
Mean	15.1	20.5	48.8	1.9
False Positive				
Median	19.3	14.3	39.6	2
DCIS Mean	28.1	44.1	69.1	3.5

DCIS Median   22.8   30   65	DCIS Median	22.8	30	90	4
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Table 1: The false positive cases have high vascularity, inflammation, and proliferative rates compared to benign breast tissue, but significantly lower values than malignant DCIS cases.

# Task 1.3: Review all pathology in terms of grade, extent, size and patterns of tumor vessels by H&E.

• We have completed review of all of the available pathology slides (70/100) under the current lead pathologist, Dr. Yunni Chen, with the help of clinical pathologist, Dr. Alfred Au. (for data sheet, see Appendix C)

# Task 1.4: Stain tumor specimens using CD 34 and CD 105 in order to highlight vascularity of tumor lesions.

- A comparison of CD 34 and CD 31 was performed and CD 34 was chosen due to its comparable degree of sensitivity and the fact that it produces less background staining noise.
- CD 105 had been chosen as a potential stain since it was reported as being expressed primarily in vessels characteristic of tumors. However, there is limited tissue available for DCIS and we stained the first 10 cases with both and made a choice of stains after reviewing the first cases. Because of the similarity of the CD34 and CD105 stains, and because CD34 appeared to be more robust as an immunohistochemical stain, the CD105 stains were not performed after the initial 10 cases.

# Task 1.5: Add serial section stain and dual stain with proliferative markers to elucidate which areas of tumor are proliferating.

- We chose serial section stains rather than dual stains with proliferative markers (Ki67, cytokeratin, and MCM2) to elucidate whether tumor, epithelial, or endothelial areas are proliferating. The double staining methods added confusion rather than clarity. Out of 57 total Ki67 stains, all 57 had staining in the epithelial cells, and only 7 had stromal staining. Thus, the epithelial cells were the ones proliferating, not the stroma.
- The MCM2 stain was considered because, at the time, it was reported to be a more specific stain of proliferative activity. Again, after 10 cases, we did not see a difference between Ki67 and MCM2, and therefore continued with Ki67 staining since it is the more accepted standard marker of proliferation.

# Task 1.6: Compare proliferative patterns of tumor and blood vessels and correlate to grade, extent, and Her2/neu markers.

• Because Her2/neu markers have been shown to have a strong correlation with high nuclear grade and extensive comedonecrosis, and tissue was limited, we instead chose to include an inflammatory marker, CD68 (which stains tissue macrophages), since inflammation is thought to be one of the tissue parameters that cause enhancement on MR. There is increasing interest in inflammatory causes of cancer development and promotion and attention is being directed toward identifying and testing agents that are directed toward inflamatory pathways. The Priniciple Investigator (Dr. Esserman) is developing a protocol to test statins for their activity in altering DCIS lesions. There are a number of mechanisms by which statins exert their cardiovascular and vascular preventive effects, including cholesterol reduction and Creactive protein reduction (an inflammatory marker), and a recent article (JAMA)

- MARCH 2004), suggests that reduction in C-reactive protein may be a better predictor of benefit that change in cholesterol.
- The CD68 inflammation data demonstrated the most interesting and significant correlations with pathological and MRI markers. High levels of inflammation were associated with extensive comedonecrosis (Figure 1), large-sized lesions as determined by both MRI (Figure 2) and pathology, ER negative lesions(Figure 3), the percentage of breast involving DCIS on MR (Figure 4), MR density (Figure 5), and pathology density (Figure 6).

#### Comedonecrosis vs. CD68

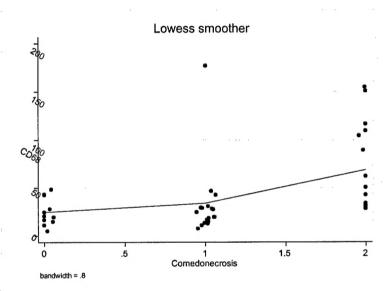


Figure 1: High levels of inflammation correlate with Extensive Comedonecrosis (0 = no comedo, 1 = focal comedo, 2 = extensive comedo)

MR Size vs. CD68

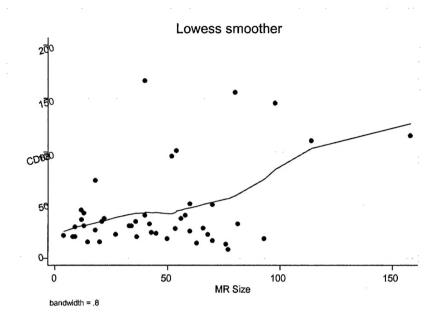


Figure 2: CD68 correlates with MR Size

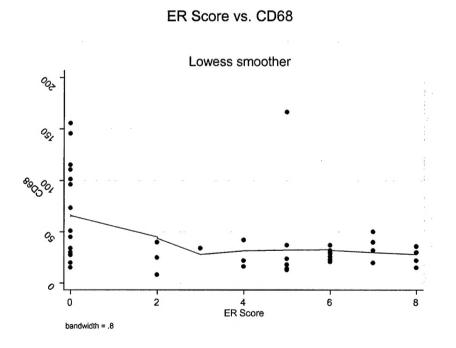


Figure 3: High inflammation correlates with ER negativity.

% Breast Involving DCIS vs. CD68

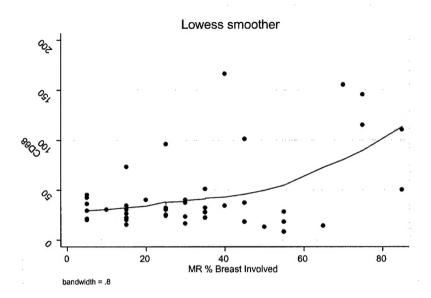


Figure 4: CD68 correlates with Percentage of Breast Involving DCIS on MR.

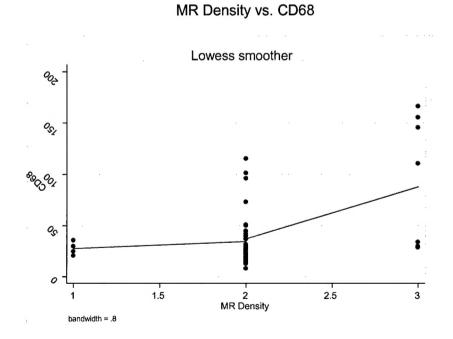


Figure 5: CD68 correlates very well with MR Density

Pathology Density vs. CD68

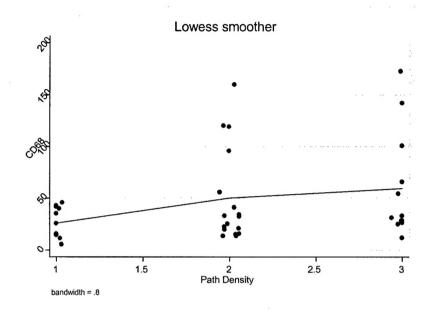


Figure 6: CD68 correlates with pathology density.

# Task 1.7: Identify stromal marker to elucidate involvement of stromal cells in tumor lesions.

 We have not yet identified reliable markers for stromal elements that would identify tumor associated fibroblasts. Candidate stains include CD44 and SMA 1 & 2, but data on larger more robust data sets have not shown that these stains are reliable for identifying stromal elements likely to promote tumor growth. This is obviously an important area for further research.

# Specific Aim 2: Create a tissue array from DCIS cases to see if this technique can be used to capture the same data described in Specific Aim 1.

#### Task 2.1: Create tissue array composed of plugs from identified tissue blocks.

• It was determined that the creation of DCIS tissue arrays would simply waste tissue and would not be more efficient. Many of the stains and aims of the study required that the patterns of staining be evaluated, and that the relationships among stroma, vessels be characterized. For example, we needed to determine the pattern of CD34 staining- peritumoral cuffing vs. scattered throughout the tissue. The tissue arrays only allow a small core diameter of tumor tissue to be included, therefore, we elected not to make arrays, and completed all the immunohistochemical stains individually.

#### Specific Aim 3: Define MRI Characteristics of DCIS

# Task 3.1: Examine and compare all MR images; create a stratification and standards of patterns seen based on extent, density, and intensity of contrast enhancement of study cases.

• <u>Size-To measure the size of each DCIS lesion on MR</u>, we used the composite three dimensional view (maximum intensity projections). Using this view, three different lesion measurements were obtained, including an anterior-posterior

- measurement, a cranial-caudal measurement, and a transverse measurement. For the data analysis, the largest measured lesion diameter among the three views/measurement was utilized.
- Dynamic Characteristics-The dynamic MR characteristics of the DCIS lesions were measured by a using a three time point technique. MR images are acquired prior to intravenous contrast (gadolinium), immediately after contrast (2 minutes), and at one more time point (8 minutes). This technique allows a kinetic assessment of contrast enhancement and is well described in the literature (Hylton). A 1.5 Tesla scanner was used for all scans. The lesions were identified as exhibiting progressive enhancement, washout enhancement, or plateau enhancement. Progressive enhancement is characterized by a gradual increase in signal intensity, with the final image of the sequence enhancing the brightest. Washout enhancement is denoted by a peak enhancement intensity in the middle of the time-lapse sequence, and then a decreased enhancement intensity in the final image. Plateau enhancement is characterized by a rapid increase of signal intensity over the initial image sequences, and then the stabilization and maintenance of that peak signal intensity for the remainder of the sequences.
- Enhancement Pattern-In an attempt to capture the variation in MR patterns, morphology observed when imaging DCIS lesions, the DCIS lesions were separated into four main categories; focal mass; diffuse enhancement, ductal or segmental enhancement; and regional or multi-regional enhancement. A lesion was characterized as a focal mass if it appeared on MR as one singular focus of enhancement, regardless of size. Lesions that were classified as diffuse enhancement demonstrated a random enhancement distribution throughout the breast. Lesions that exhibited ductal or segmental enhancement were those that did not have a clear focal center, but instead demonstrated a pattern of enhancement that appeared to linearly enhance as if tracing the pattern of a duct (often exhibiting the branching qualities of a duct) or exhibited a triangular region of enhancement with the apex pointed towards the nipple, enhancing in a distribution suggesting a duct and its branches. Regionally or multi-regionally enhanced lesions were those that enhanced in a large volume of tissue not conforming to a ductal distribution in one or more quadrants of the breast.

MR Enhancement Pattern	Average Size of Lesions on MR (mm)
Focal Mass	10.0
Ductal/Segmental Enhancement	37.5
Regional/Multi-Regional Enhancement	50.8
Diffuse Enhancement	71.3

Table 2: The average size of lesions on MR separated by MR enhancement pattern.

- <u>Percentage of Breast Involving DCIS</u>-A visual measure of the percentage of breast tissue occupied by the DCIS, assessed by Dr. Jessica Leung, utilizing the three-dimensional features of the digital MRI images. For these cases, the summary projection views were used to estimate extent of breast involved.
- <u>Density</u>-It was not feasible to create a quantitative pixel measure that would elucidate contrast enhancement density on MR, though Dr. Hylton will continue her efforts to develop such a measurement. As a result, a visual 3-point MR and

pathology density categorization was created through the collaboration of Dr. Laura Esserman, Dr. Nola Hylton, Dr. Yunn-yi Chen and Dr. Jessica Leung. The visual density measure stratified lesions on both MR and pathology into three score categories, with a score of 1 (Low density) denoting sparse, stippled enhancement; a score of 2 (Medium density) indicating clumped enhancement; and a score of 3 (High density) representing solid, mass-like enhancement. (See APPENDIX B for an illustrated schema of the density criteria used by the pathologist and radiologist) Unfortunately, because of the qualitative nature of such a measurement, and the difficulty that often arose without any quantitative criteria of distinguishing between borderline cases, the majority of the cases were designated an MR density score of 2. This made it difficult to produce meaningful correlations with the rest of the data, though MR density according to our 3-point categorization did demonstrate a significant correlation with the inflammatory marker, CD68, see Figure 1 above.

- We have completed review of 66/100 MR images, characterizing them by contrast enhancement density, size, percentage of breast involving DCIS, contrast enhancement pattern/morphological type, and the dynamic characteristics of the contrast enhancement. The remainder of the MR images have not been transferred to the Picture Archive and Communication System (PACS) system and therefore were not evaluated, as films and PACS views are not comparable.
- Task 3.2: Categorize each image according to these patterns of extent, density, SER, and imaging phenotype.
- The lesions were characterized as described as above. Signal Enhancement Ratio (SER) was not applicable to the overwhelming majority of DCIS lesions because SER requires that a lesion be primarily solid to be accurate. The technique is one of volume averaging, and the nature of DCIS lesions is that the enhancment pattern is scattered throughout the tissue. It was determined that SER would not be helpful or appropriate for DCIS lesions.

Specific Aim 4: Investigate associations between MR, proliferative markers, and standard pathologic prognostic features.

# Task 4.1: Correlate MRI characteristics to pathologic and proliferative characteristics identified in Specific Aim 1.

- Upon completion of the data set, we correlated various MRI characteristics that were captured in our data set—MR Size, MR Density, Percentage of Breast Involving DCIS, MR Enhancement Type, and MR Dynamic Characteristics—with the pathological, proliferative, angiogenesis, and inflammatory characteristics identified in Specific Aim 1.
- MR Size correlated with percent of breast involving DCIS on MR (p< 0.0001), which is expected. MR size correlated well with pathology size (p<0.001), which is remarkable considering the difficulty and, therefore, imprecise nature of measuring DCIS. This correlation establishes MR as an accurate, and perhaps preferred method of determining lesion size. MR size was also associated with high levels of inflammation (p=0.01).

#### MR Size vs. Pathology Size

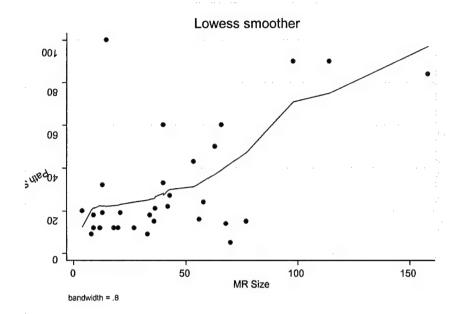


Figure 7: MR Size correlates well with pathology size.

MR Size vs. CD68

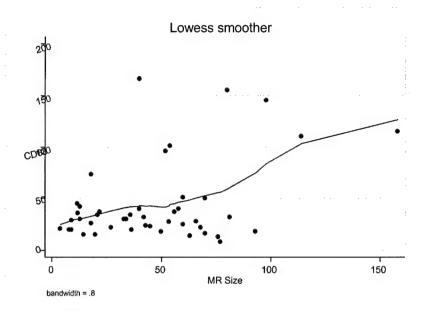


Figure 8: MR Size correlates with inflammation.

- MR Dynamic Characteristics (particularly "Washout" enhancement, which has been
  associated with poor prognosis in DCIS) failed to demonstrate correlations with the
  majority of the pathological or immunohistochemical characteristics. The one marker
  that did correlate with washout enhancement was high levels of proliferation (See
  Figure 11), which reinforces the idea that proliferation is an important parameter for
  causing MR enhancement.
- Despite the difficulty in establishing a quantitative pixel/density measurement, MR density demonstrated meaningful correlations with certain pathological and immunohistochemical characteristics. MR density was well correlated with pathology density (p=0.001). Density on pathology measures the percent of a high powered field that is involved with DCIS. When the ducts involved with DCIS are numerous and close together, one sees a corresponding increase in the density of enhancement on the MR image. In addition, when MR density was high, inflammation (the number of tumor-associated macrophages) was also high.
- The MR Enhancement pattern was correlated well with nuclear grade (Figure 9) and proliferation (Figure 10). Table 3 compares the average immunohistochemical stain scores of each MR Enhancement pattern category to the average scores of the entire DCIS data set, the false positive cases, and the benign tissue cases.

Table 3: Average immunohistochemical markers separated by tissue type and MR category. An asterisk (\*) denotes a statistically significant correlation.

Tissue/MR Category mean values	Ki67 (% Epithelial Staining)	CD68 (Macrophages)	CD34 (Microvessel Count)	ER (Intensity Score)	MR Size (mm)
Benign Tissue	1.6	1.9	12.9	3.6	N/A
False Positive	15.1	20.5	48.8	1.9	31.9
DCIS	28.1	44.1	69.1	3.5	46.5
Focal MR Enhancement	11.9*	26.3	59.3	4.7	10.0
Diffuse MR Enhancement	19.3*	45.3	44.1	2.8	71.3
Ductal/Segmental MR Enhancement	32.3*	33.4	76.0	3.7	37.5
Regional/Multi-Regional MR Enhancement	31.0*	54.9	75.7	3.5	50.8

#### MR Enhancement vs. Nuclear Grade

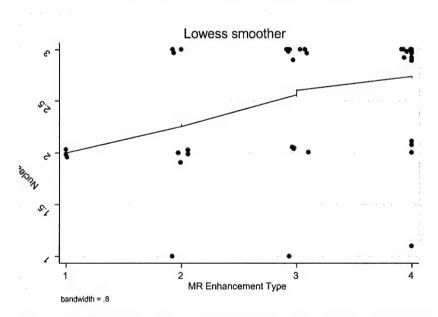


Figure 9: Ductal/Segmental lesions and Regional/Multi-regional lesions correspond with high nuclear grade

#### MR Enhancement Type vs. Ki67 % Epithelial Staining

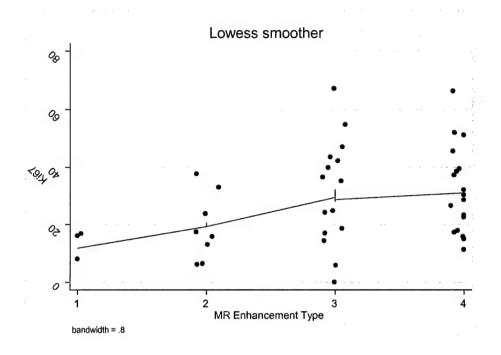


Figure 10: Ductal/Segmental lesions and Regional/Multi-regional lesions correspond to high proliferative rates

## Task 4.2: Determine if proliferative activity is associated with standard prognostic features alone.

• The Ki67 proliferation data was highly variable, with the percentage of epithelial proliferation displaying a wide range of values over the entire data set. Despite this variability, the Ki67 proliferation demonstrated that high proliferative rates are associated with ER negativity (p value=0.03), DCIS lesions that exhibit washout on MR (Figure 11), as well as DCIS lesions that have ductal/segmental or regional/multi-regional enhancement on MR (See Figure 10 above). High proliferative rates were also associated, to some extent, with extensive comedonecrosis, but the correlation was not strong enough to be statistically significant. Although the Ki67 data exhibited these correlations, the lack of correlation with other standard prognostic features prevents us from making a determination about whether proliferative activity is a property of grade and/or size, or whether it is a biolgical parameter which might independently act as a trigger point for progression.

#### MR Washout vs. Ki67 % Epithelial Staining

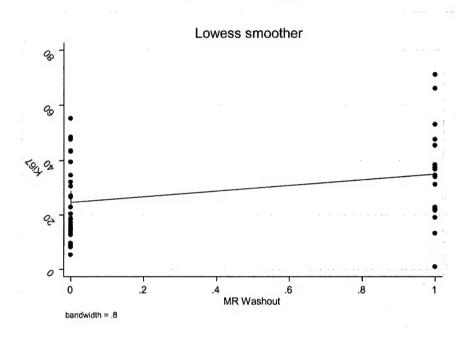


Figure 11: High proliferation rates are correlated with Washout (0 = No washout, 1 = Washout present) on MR.

• There were other significant correlations that did not involve the MR characteristics, but were either interesting or important in establishing the validity of our data set. The most important of these was the correlation between high nuclear grade and comedonecrosis (Figure 12), which has been proven in other data sets and is known to correspond to poor prognosis in women with DCIS. This correlation demonstrates the validity of our data.

Nuclear Grade vs. Comedonecrosis

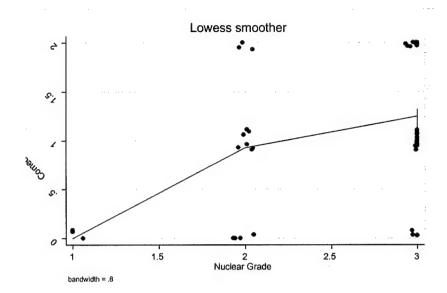


Figure 12: Extent of comedonecrosis (0 = no comedo, 1 = focal comedo, 2 = extensive comedo) increases with nuclear grade.

	Ki67 (%		CD34	ER	
Tissue/Pathology Category	Epithelial	CD68	(Microvessel	(Intensity	MR Size
	Staining)	(Macrophages)	Count)	Score)	(mm)
Benign Tissue Mean	1.6	1.9	12.9	3.6	N/A
False Positive	15.1	20.5	48.8	1.9	31.9
DCIS	28.1	44.1	69.1	3.5	46.5
Low/Intermediate Grade	19.9	32.6	61.0	4.9	40.2
High Grade	33.0	51.1	74.0	2.6	50.4
Extensive Comedo	34.0	68.6*	65.4	2.3	59.6

Table 4: Immunohistochemical stains separated by tissue type and nuclear grade. An asterisk (\*) denotes a statistically significant correlation.

#### **Key Research Accomplishments**

- Established correlation between lesion size on MR and pathologically-measured size, demonstrating that MRI is a relatively accurate, non-invasive method of determining the size of a DCIS lesion. In further support of this, we established a correlation between our own visual measure of MR density and pathology density.
- High proliferative rates correlated with certain MR enhancement patterns, MR washout (p=0.03), and ER negativity (p=0.03).
- Established correlation between inflammation and both MR and pathology size, inflammation and MR density, inflammation and percentage of breast involving DCIS on MR, inflammation and extensive comedonecrosis, inflammation and ER negativity as well as a loose correlation between inflammation and high nuclear grade.

Based on this correlation, we suggest the possibility that inflammation may be an
biological parameter. Because macrophages are associated with DCIS that has the
characteristics most strongly associated with disease progression, we believe that
this association should be pursued both from an etiologic standpoint as well as a
therapeutic standpoint.

#### **Reportable Outcomes**

We will be completing a manuscript by May 2004 and submitting an abstract for the 2004 San Antonio Breast Cancer Symposium. In addition, we have completed a comprehensive database of 45 DCIS patients (we intend to continue working over the next month to raise the number of completed cases to at least 60) that includes detailed histopathological, MR, and immunohistochemical data, as well as the type of surgery each patient chose, and their age at diagnosis. Furthermore, we have collected a repository of histopathological, MR, an immunohistochemical samples that may be reevaluated and reanalyzed at a future date.

#### **Conclusions**

MRI has significant potential as a noninvasive tool to characterize DCIS. It correlates fairly well with size as measured by pathology, and the density of the lesion on MR images correlates with the density of the lesion by light microscopy (% of high power field that is involved with DCIS). We used several biologic markers to characterize DCIS, including markers of proliferation (Ki-67), angiogenesis (CD-34), and inflammation (CD 68), as well as grade and extensive comedo necrosis, known markers of higher recurrence. We hypothesized that these markers might distinguish different DCIS patterns that could be identified using MRI. CD68 was remarkably well correlated with pathologic features of high grade, comedo lesions, that are extensive in size, i.e. features associated with high rates of progression and recurrence. The regional/multiregional enhancement patterns were characterized by high CD 68 staining. Ki67 rates were higher in the ductal/segmental and regional enhancement lesions, which were also less likely to be ER positive. Suprisingly, CD 34 was not particularly helpful in distinguishing MR types or tumor grade or MR density or pathologic density.

MR enhancement types appear to give a picture of the kind of pathologic lesion present (Table 4). Small focal lesions tend to have low Ki67 rates, smaller overall size, and higher ER staining, whereas the regional lesions have the lowest average ER score, the most CD68, and highest proliferative rates. Efforts to refine the enhancement types need to continue, and it appears that the diffuse enhancement pattern is not a useful category and probably mixes biologic types.

Lesions that enhance on MRI but are not cancer, upon histologic examination, have higher proliferative, angiogenic rates and more inflamation (macrophages) than benign tissue, but lower values than DCIS. Clearly, these false positive lesions have properties like cancer but at lower mean values than DCIS lesions. Many of the false positive cases are benign proliferative processes that are associated with an increase in breast cancer risk (approximately 2 fold). Interestingly, DCIS lesions reflect a very high risk for breast cancer development (with a range of 3-10 fold), so it may be that MR enhancement and

characteristic increase in proliferation, angiogenesis and inflamation reflect breast cancer risk.

There are some limitations of the study. We did not have a very representative sample of low grade lesions. We were not able to automate MR total volume. Our future plans include completing review of the outstanding 10-15 cases. We plan to review the MR patterns of all of the high CD68 lesions to determine if there is a characteristic pattern that is now more distinguishable. We will then refine the MR enhancement categories, and have an independent reader categorize the MRs and repeat the analysis. We anticipate updating our review by the end of May, and hope to submit manuscripts by July of 2004.

This study is of great significance to the field of DCIS management. The ability to characterize DCIS more clearly prior to surgery affords us the opportunity to introduce neoajuvant approaches to treating DCIS and to identify and test new agents for prevention. The ability to identify patients who have DCIS alone, and to characterize these patients who have very high risk for invasive breast cancer, and to assess the impact of interventions will be critical in moving forward the field of prevention. In particular, this may be our window of opportunity to identify and test agents that impact emerging ER negative disease. We are currently designing a multi-center prospective MRI study of DCIS patients who are going to be randomized pre-operatively to placebo vs 2 doses of statins for 2-3 weeks prior to definitive surgery. Because statins should affect inflammation, and are more effective in decreasing proliferation in ER negative cell lines, it will be very interesting to see if CD68 levels are changed by this intervention and whether the change is observable using MR imaging. Thus, the finding that high CD68 characterizes high grade comedo lesions which are identifiable non-invasively, is very exciting indeed. We are very grateful to have had the opportunity to perform this important work and intend to work to develop

Over the past 4 years, a number of people have been supported by this grant. The project was difficult and harder to accomplish than we anticipated but we were able to complete it using supplemental funding from the Breast Cancer Research Foundation.

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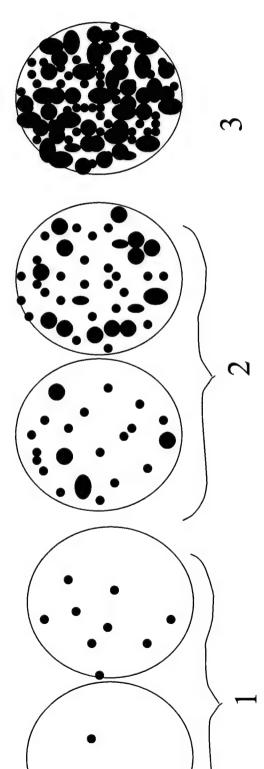
# Appendix A: Database of MR, Histopathological, and Immunohistochemical Markers

R Score	7	4	0	5	0	0	5	9	0	0	0	00	2	8	4	0	9	0	8	7	9	0	0	5	8	7	9	2
D34 E	87	105	165	111	48	42	96	28	57	117	46	42	17	198	33	122	87	58	143	83.6	140	37	15	99	63	29	23	27
)B9Q	40	16	30	24	101	34	18	37	145	28	15	22	25	36	42	73	30	45	15	32	21	20	20	14	30	50	26	8
Ki67C	15.4	53	39.3	36.8	34.6	15	31.2	21.6	22.8	43.2	55.2	48.4	17.2	34.6	22.8	37.3	20.3	45.3	99	6.0	16.3	6	71	18.3	13.6	32	22.3	5.3
Path Size	24	5	6	27	N/A	15	N/A	16	06	09	12	12	N/A	12	32	N/A	18	12	100	22	20	6	12	50	19	N/A	12	15
Predominant Histologic Type Path Size Ki67 CD68 CD34 ER Score	Cribiform	Cribiform	Solid	Comedo	Comedo	Clinging	Solid	Solid	Comedo	Comedo	Solid	Comedo	Cribiform	Cribiform	Comedo	Comedo	Cribiform	Comedo	Cribiform	Cribiform	Cribiform	Cribiform	Cribiform	Solid	Cribiform	Cribiform	Solid	Clinging
Comedo- necrosis	Focal	Focal	None	Extensive	Extensive	None	Focal	Extensive	Extensive	Extensive	Focal	Extensive	Focal	Focal	Focal	Extensive	Focal	Extensive	None	None	Focal	Focal	None	Focal	Extensive	Focal	Focal	None
Nuclear Grade	2	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	1	2	3	2	1	2	2	3	3	1
Path Density	3	1	1	2	2	2	2	2	3	2	1	-1	1	1	-	3	2		3	2	N/A	1		3	3	3	2	2
Study ID MR Size MR % Breast Involved MR Density MR Washout MR Enhancement Type Path Density Nuclear Grade	Ductal/Segmental	Ductal/Segmental	Regional/Multi-Regional	Ductal/Segmental	Diffuse	Regional/Multi-Regional	Regional/Multi-Regional	Regional/Multi-Regional	Diffuse	Ductal/Segmental	Regional/Multi-Regional	Regional/Multi-Regional	Ductal/Segmental	Ductal/Segmental	Ductal/Segmental	Ductal/Segmental	Regional/Multi-Regional	Ductal/Segmental	Regional/Multi-Regional	Ductal/Segmental	Regional/Multi-Regional	Focal Mass	Ductal/Segmental	Diffuse	Focal Mass	Regional/Multi-Regional	Ductal/Segmental	Diffuse
MR Washout	No	Yes	No	Yes	No	No	Yes	Yes	Yes	No	No	No	No	Yes	No	Yes	No	Yes	Yes	Yes	No	No	Yes	No	No	No	Yes	No
MR Density	2	2	1	2	2	3	2	2	3	2	2	2	1	-	2	2	2	2	2	2	-	2	2	2	3	2	2	2
MR % Breast Involved	30	30	10	25	45	40	45	45	75	55	15	15	25	5	5	15	15	5	15	25	5	5	5	65	25	85	15	55
R Size	58	70	33	43	54	36	50	56	86	99	20	27	09	12	13	18	34	11.7	14.7	42	4	∞	6	63	13	20	18	77
Study ID M	DC-004	DC-016	DC-018	DC-020	DC-021	DC-023	DC-028	DC-030	DC-032	DC-033	DC-035	DC-036	DC-037	DC-038	DC-039	DC-040	DC-041	DC-042	DC-048	DC-057	DC-060	DC-062	DC-063	DC-065	DC-067	DC-068	DC-069	DC-070

core	9	7		7	5				,_			_				_	
4ER S			4		- C	9	s	5	9	5	0	0	0	0	3	0	0
8CD3	21	34	48	114	25	65	69	55	78	80	32	17	99	71	99	84	09
)CD6	32	20	22	4	18	23	13	37	29	18.8 166	115	27.6	96	51	34	43.4 110	30.4 155
eKi6	61	27	8.1	26.5	8.3	9.6	12.6	16.3	13.2	18.8	38.3	34	47.6	47.5	14.5	43.4	30.4
Path Siz	N/A	21	14	33	N/A	N/A	N/A	N/A	18	09	84	43	N/A	N/A	19	06	N/A
nent Type Path Density Nuclear Grade Comedonecrosis Predominant Histologic Type Path Size Ki67 CD68 CD34 ER Score	Micropapillary	Cribiform	Cribiform	Cribiform	Cribiform	Cribiform	Cribiform	Cribiform	Micropapillary	Cribiform	Micropapillary	Comedo	Comedo	Clinging	Solid	Comedo	Comedo
Comedonecrosis	Extensive	Focal	Focal	None	None	Focal	None	None	None	Focal	Extensive	Focal	Extensive	Extensive	Extensive	Extensive	Extensive
Nuclear Grade	2	2	2	3	3	2	2	2	2	2	8	3	3	3	2	3	3
Path Density	N/A	3	2	2	1	2	1	1	N/A	3	2	3	3	2	3	2	2
MR Enhancement Type	Diffuse	Ductal/Segmental	Diffuse	Regional/Multi-Regional	Regional/Multi-Regional	Ductal/Segmental	Diffuse	Regional/Multi-Regional	Focal Mass	Regional/Multi-Regional	Regional/Multi-Regional	Diffuse	Regional/Multi-Regional	Ductal/Segmental	Regional/Multi-Regional	Regional/Multi-Regional	Regional/Multi-Regional
MR Washout	Yes	No	No	No	No	No	No	No	Yes	No	Yes	Yes	No	Yes	No	No	No
MR Density	2	2	2	2	2	2	2	2	3	3	2	2	2	2	3	3	3
Study ID MR Size MR % Breast Involved MR Density MR Washout MR Enhancem	35	15	35	20	55	30	50	30	5	40	75	35	25	35	15	85	70
MR Size	81.2	36.5	89	40	93	45	9/	22	6	40	158	53.5	52	09	21	114	08
Study ID	DC-071	DC-072	DC-073	DC-076	DC-077	DC-079	DC-085	DC-086	DC-088	DC-091	DC-094	DC-095	DC-096	DC-098	DC-099	DC-125	DC-129

# Appendix A





Score	1	2	3
Path	5/+ mm apart TDLU, normal ducts in between lesions	2-5 mm apart	1-2 mm apart Very dense/solid
MRI	Sparse, stippled enhancement Clumped Enhancement	Clumped Enhancement	Solid, Wall-to-wall

### Appendix B

University of California San Francisco

# San Francisco

#### **MRI Form**

Comprehensive Cancer Center

Patient Study ID	D C -			: %	Breast Involved	
Date of MRI	_	_		: 1-	3 point DCIS Scale	
MRN						
Accession Number						
Patient Name				<del></del>		
Form completed by			Date Rev	view Completed		
Lesion Number:						
A. Pre-MRI Work-up						
FNA	Yes □	No	Date/Notes			
Core Biopsy			V			
Incisional Biopsy Other						
B. Location of Lesion						
Continuous		Yes		No □		
Upper						
Middle						
Lower						
Outer						
Middle						
Inner						
Anterior (near nipple)						
Mid						
Posterior						

<u>Laterality:</u> Right □	Let	ft 🗆	Unknown [	I
C. Diameter of Lesion:				
	Yes		Measurement	and the state of t
LD				mm
AP				mm
CC		and the state of t		mm
TRV				mm
D. Nature of Lesion:				
	Mass		Enhancement	
Nature of Lesion	☐ see Par	t E	□ see Part F	
E.1 Characteristics of Mass				
	Yes		No	
Progressive				
Wash-out				
Plateau				
E.2 Shape of Mass				
	Yes		No	
Round: spherical ball-				
shape				
Oval: elliptical or egg shaped Lobulated: undulating				
contour Irregular: uneven, bumpy or asymmetrical				
Largest Diameter			A CONTRACTOR OF THE CONTRACTOR	
E.3 Margins of Mass				
	Yes		No	
Smooth: well				
circumscribed, well				
defined	_		_	
Irregular: rough, uneven,				
jagged Spiculated: characterized by				
radiating lines	J		ш	
Largest Diameter			***************************************	

E.4 Internal Enhancement in the Mass

	Yes	No
Homogeneous: confluent, uniform		
Heterogeneous: nonspecific mixed		
enhancement		
Heterogeneous rim enhancement: more		
pronounced at periphery of a mass		
Heterogeneous dark septation: dark		
non-enhancing lines within a mass		
Heterogeneous enhancing: enhancing		
lines within mass		
Heterogeneous centrally enhanced:		
enhancement more pronounced at the		
center of the mass	providence of the second secon	
Largest Diameter		Manager Par
F.1 Non-Mass Enhancement Dynamic Ch	<u>naracteristics</u>	
	Yes	No
Progressive		
Wash-out		
Plateau		
F.2 Non-Mass Enhancement Foci		
	Yes	No
Segmental		
Regional		
Multiple Regions		
Homogeneous: confluent, uniform		
Heterogeneous: nonspecific, non-		n
uniform enhancement		_
Stippled, punctuate: punctuate, similar		
appearing enhancing foci, sand-like		
Clumped: regions of cobblestone like		
enhancement		
Dendritic, septal: finger-like		
enhancement, extending in a ductal		
distribution		
Largest Diameter		

F.3 Non-Mass Like Enhancement

Notes:

University of California San Francisco



#### **Breast Histology Form**

	D	c														
Patient Study ID							_									
MRN																
			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				_									
Patient Name																
Form completed by																
<b>Date DCIS Review</b>	Cor	mplei	ted													
Date Dels Review	Con	пріс	_													
Specimen Information	ı															
-		Excisio ncisio Needle	onal b onal bi e biop.	biopsy iopsy, sy (tru	or la with e-cut	umpe i wir t or c	e localizatio	on vire	re localization localization my	n 🗆 Ind	cophylae cisiona eedle bi astector ther,spe	l biops opsy (t ny	y tru-cut	t or co	-	
Specimen Accession Nu	ımber	r [														
Specimen Laterality			□ Lef	It		∃ Ri	ight 🗆	Unk	nown/ Not App	pplicable	e					
Pathology: Assessmen	t of D	uctal	Caro	einom	a In	Situ	(DCIS)									
Is DCIS present?						$\exists Y_{\epsilon}$			No							
Is DCIS present with	th inv	asive	cance	er?		$\exists Y_{\epsilon}$	es		No							
If present with inva	sive d	liseas	e, Is a	ın exte	nsiv	e int	traductal co	mpo	nent (EIC) pre	resent?		Yes			Vo	
Is cancerization of lobul	les pro	esent?	?			] Ye	es		No							
Histologic Type (check all that appl	'y)		□ Mic	lid ibrifori cropap		ry			Apocrine Intra-cystic (e Papillary car	rcinoma	in situ	(papil	•			
				inging					Other, specify	, y						
Dominant Histologic Ty	/pe		□ Coi						Apocrine							
(check only one)				lid ibrifori cropap		ry			Intra-cystic (e Papillary car				lary)			
				inging					Other, specify	fy						

#### Pathology: Assessment of Ductal Carcinoma In Situ (DCIS) (continued)

	· · · · · · · · · · · · · · · · · · ·	
Slide Review		
Total Number of Slides Rev	riewed:	
Total Number of Slides Pos	ritive:	
Block Selection:	1 <sup>st</sup> Choice:	2 <sup>nd</sup> Choice:
Size of DCIS	mmContinuous (Slide #Not Continuous (Slide #	)
Extent of DCIS	☐ single focus ☐ more than one focus in a s ☐ more than one and up to t ☐ three or four quadrants	
Nuclear Grade (check highest grade)	☐ Grade I (low) ☐ Grade II (intermediate)	☐ Grade III (high) ☐ Unknown
Is necrosis present?	□ Yes □ No	
Necrosis Type	☐ Comedonecrosis: ☐ Non-comedonecrosis	$\Box$ focal $\Box$ extensive(>1/3)
Microcalcifications	☐ Present, involving benign ducts☐ Present, involving DCIS☐ None	☐ Present, involving both benign ducts and DCIS☐ Present, involving stroma
Is DCIS at margin?	☐ Transected ☐ Less than 1 mm ☐ > or = 1 mm to 10 mm	☐ Greater than 10 mm ☐ Unknown ☐ Involved, NOS ☐ Not involved, NOS
Diagnosis	☐ Malignant	☐ Benign (false positive)
5 Point Categorization	☐ 1: Sparse	
	☐ 2: Clumped	
	□ 3: Solid	
Notes:		

Pathology: Immunohistochemistry (Optional: For use where protocol includes additional IHC testing) □ Ki67 Marker Name(s) Date Review Completed \_\_\_\_\_ **Blocks** Accession Number Tissue Type  $\square$ Primary □Regional Nodal (for Blocks, enter □Other, specify 99 for unknown) Method of Evaluation ☐ Excisional biopsy or lumpectomy ☐ Sentinel node biopsy □ Mastectomy ☐ Prophylactic mastectomy □ Other, specify Primary Antibody Antigen Retrieval  $\square$  No ☐ Yes, specify \_\_\_\_\_ Distribution of staining Within DCIS ☐ Peritumoral Cuffing ☐ Scattered **Epithelial Staining** Percent Malignant % Percent Stromal % Vessels staining  $\square$  No □ Yes

#### Pathology: Breast Tumor Markers

Marker Name(s)	□ CD34		
Date Review Completed			
Tissue Type  (for Blocks, enter  99 for unknown)	□Primary □Other, specify	Blocks	Accession Number
Method of Evaluation	<ul> <li>□ Excisional biopsy or lumpectomy</li> <li>□ Sentinel node biopsy</li> <li>□ Mastectomy</li> <li>□ Prophylactic mastectomy</li> <li>□ Other, specify</li> </ul>		
Primary Antibody			
Antigen Retrieval	□ No □ Yes, specify		
Microvessel counts			,
Hot Spot Stain/HPF			
Field 1:			
Field 2:			
Field 3:			
+	/3 =		
Distribution of staining:	☐ Peritumoral Cuffing	□ Scattered	□ Other
Location:	□ Adjacent □ F	ar	☐ Intermixed
			•

#### **Pathology: Breast Tumor Markers**

a care the "

Marker Name(s)	□ ER		
Date Review Completed			
Tissue Type  (for Blocks, enter  99 for unknown)	□Primary □Other, specify	Blocks	Accession Number
Disease Description Proportion Score (PS) of	Stained malignant nuclei  □ 0  □ 1 (1/100) □ 2 (1/10) □ 3 (1/3) □ 4 (2/3) □ 5 (1.0)		
Intensity Score (IS) of st	ained malignant nuclei  0 (negative) 1 (weak) 2 (intermediate) 3 (strong)		
Total Score (TS) = $PS +$	IS =		

Pathology: Breast T	umor Markers			
Marker Name(s)	□ CD68			
Date Review Completed				
		Blocks	Accession Number	
Tissue Type	$\Box$ Primary			
(for Blocks, enter	□Other, specify			
99 for unknown)				
Method of Evaluation	<ul> <li>□ Excisional biopsy or lumpectomy</li> <li>□ Sentinel node biopsy</li> <li>□ Mastectomy</li> <li>□ Prophylactic mastectomy</li> <li>□ Other, specify</li> </ul>	,		
Primary Antibody				
Antigen Retrieval	□ No □ Yes, specify			
Tumor-associated Macro	phages (TAMs)			
Hot Spot Stain/HPF				
Field 1:				
Field 2:				
Field 3:_				
+	/3 =			
Distribution of staining:		☐ Centralized	☐ Scattered	□ Other
Location:	□ Adjacent	☐ Tumor Core	□ Far	☐ Intermixed